


FORM PTO-1449		ATTY. DOCKET NO. 6046-101D8	SERIAL NO. 09/505,915
SET I		APPLICANT: RONALD A. KATZ	
 (Use several sheets if necessary)		FILING DATE: February 17, 2000	GROUP: 2643

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	Re. 32,115	04/15/1986	Lockwood et al.	_____	_____	_____
	2,575,606	11/20/1951	Wales et al.	_____	_____	_____
	2,957,567	10/25/1960	Doud	_____	_____	_____
	3,246,082	04/12/1966	Levy	_____	_____	_____
	3,253,689	05/31/1966	Thompson	_____	_____	_____
	3,445,633	05/20/1969	Ratner	_____	_____	_____
	3,609,250	09/28/1971	Morris	_____	_____	_____
	3,622,995	11/23/1971	Dilks et al.	_____	_____	_____
	3,705,384	12/05/1972	Wahlberg	_____	_____	_____
	3,794,774	02/26/1974	Kemmerly et al.	_____	_____	_____
	3,881,060	04/29/1975	Connell et al.	_____	_____	_____
	3,909,553	09/30/1975	Marshall	_____	_____	_____
	4,037,250	07/19/1977	McGahan et al.	_____	_____	_____
	4,070,698	01/24/1978	Curtis et al.	_____	_____	_____
	4,090,038	05/16/1978	Biggs	_____	_____	_____
	4,137,429	01/30/1979	Stockdale	_____	_____	_____
	4,141,006	02/20/1979	Braxton	_____	_____	_____
	4,150,254	04/17/1979	Schussler et al.	_____	_____	_____
	4,173,024	10/30/1979	Miller	_____	_____	_____
	4,186,438	01/29/1980	Benson et al.	_____	_____	_____
	4,190,819	02/26/1980	Burgyan	_____	_____	_____
SW	4,193,114	03/11/1980	Benini	_____	_____	_____

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SW	4,194,242	03/18/1980	Robbins	_____	_____	_____
	4,247,759	01/27/1981	Yuris et al.	_____	_____	_____
	4,262,333	04/14/1981	Horigome et al.	_____	_____	_____
	4,270,042	05/26/1981	Case	_____	_____	_____
	4,289,930	09/15/1981	Connolly et al.	_____	_____	_____
	4,346,442	08/24/1982	Musmanno	_____	_____	_____
	4,359,631	11/16/1982	Lockwood et al.	_____	_____	_____
	4,360,345	11/23/1982	Hon	_____	_____	_____
	4,360,827	11/23/1982	Braun	_____	_____	_____
	4,393,277	07/12/1983	Besen et al.	_____	_____	_____
	4,412,287	10/25/1983	Braddock, III	_____	_____	_____
	4,424,572	01/03/1984	Lorig et al.	_____	_____	_____
	4,449,186	05/15/1984	Kelly et al.	_____	_____	_____
	4,450,477	05/22/1984	Lovett	_____	_____	_____
	4,451,701	05/29/1984	Bendig	_____	_____	_____
	4,493,948	01/15/1985	Sues et al.	_____	_____	_____
	4,496,943	01/29/1985	Greenblatt	_____	_____	_____
	4,528,643	07/09/1985	Freeny, Jr.	_____	_____	_____
	4,553,222	11/12/1985	Kurland et al.	_____	_____	_____
	4,559,415	12/17/1985	Bernard et al.	_____	_____	_____
↓	4,566,030	01/21/1986	Nickerson et al.	_____	_____	_____
SW	4,567,359	01/28/1986	Lockwood	_____	_____	_____

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4,577,067	03/18/1986	Levy et al.	_____	_____	_____
	4,591,906	05/27/1986	Morales-Garza et al.	_____	_____	_____
	4,626,836	12/02/1986	Curtis et al.	_____	_____	_____
	4,635,251	01/06/1987	Stanley et al.	_____	_____	_____
	4,641,127	02/03/1987	Hogan et al.	_____	_____	_____
	4,652,998	03/24/1987	Koza et al.	_____	_____	_____
	4,654,482	03/31/1987	DeAngelis	_____	_____	_____
	4,656,654	04/07/1987	Dumas	_____	_____	_____
	4,674,044	06/16/1987	Kalmus et al.	_____	_____	_____
	4,694,490	09/15/1987	Harvey et al.	_____	_____	_____
	4,704,725	11/03/1987	Harvey et al.	_____	_____	_____
	4,712,191	12/08/1987	Penna	_____	_____	_____
	4,720,849	01/19/1988	Tayama	_____	_____	_____
	4,727,243	02/23/1988	Savar	_____	_____	_____
	4,734,858	03/29/1988	Schlaflly	_____	_____	_____
	4,739,478	04/19/1988	Roberts et al.	_____	_____	_____
	4,739,510	04/19/1988	Jeffers et al.	_____	_____	_____
	4,742,457	05/03/1988	Leon et al.	_____	_____	_____
	4,745,468	05/17/1988	Von Kohorn	_____	_____	_____
	4,751,640	06/14/1988	Lucas et al.	_____	_____	_____
SW	4,755,871	07/05/1988	Morales-Garza et al.	_____	_____	_____

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4,758,872	07/19/1988	Hada	_____	_____	_____
	4,761,684	08/02/1988	Clark et al.	_____	_____	_____
	4,763,191	08/09/1988	Gordon et al.	_____	_____	_____
	4,788,682	11/29/1988	Vij et al.	_____	_____	_____
	4,789,863	12/06/1988	Bush	_____	_____	_____
	4,789,928	12/06/1988	Fujisaki	_____	_____	_____
	4,791,666	12/13/1988	Cobb et al.	_____	_____	_____
	4,794,530	12/27/1988	Yukiura et al.	_____	_____	_____
	4,799,156	01/17/1989	Shavit et al.	_____	_____	_____
	4,805,134	02/14/1989	Calo et al.	_____	_____	_____
	4,807,023	02/21/1989	Bestler et al.	_____	_____	_____
	4,823,265	04/18/1989	Nelson	_____	_____	_____
	4,825,457	04/25/1989	Lebowitz	_____	_____	_____
	4,833,710	05/23/1989	Hirashima	_____	_____	_____
	4,843,377	06/27/1989	Fuller et al.	_____	_____	_____
	4,845,636	07/04/1989	Walker	_____	_____	_____
	4,845,739	07/04/1989	Katz	_____	_____	_____
	4,852,154	07/25/1989	Lewis et al,	_____	_____	_____
	4,873,662	10/10/1989	Sargent	_____	_____	_____
✓	4,876,648	10/24/1989	Roy et al.	_____	_____	_____
SW	4,876,648	10/24/1989	Lloyd	_____	_____	_____

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SW	4,885,685	12/05/1989	Wolfberg et al.	_____	_____	_____
	4,893,248	01/09/1990	Pitts et al.	_____	_____	_____
	4,893,325	01/09/1990	Pankonen et al.	_____	_____	_____
	4,893,326	01/09/1990	Duran et al.	_____	_____	_____
	4,897,867	01/30/1990	Foster et al.	_____	_____	_____
	4,903,201	02/20/1990	Wagner	_____	_____	_____
	4,907,160	03/06/1990	Duncan et al.	_____	_____	_____
	4,910,676	03/20/1990	Allredge	_____	_____	_____
	4,916,435	04/10/1990	Fuller	_____	_____	_____
	4,922,520	05/01/1990	Bernard et al.	_____	_____	_____
	4,926,325	05/15/1990	Benton et al.	_____	_____	_____
	4,928,177	05/22/1990	Martinez	_____	_____	_____
	4,939,773	07/03/1990	Katz	_____	_____	_____
	4,947,028	08/07/1990	Gorog	_____	_____	_____
	4,951,196	08/21/1990	Jackson	_____	_____	_____
	4,954,886	09/04/1990	Elberbaum	_____	_____	_____
	4,955,052	09/04/1990	Hussain	_____	_____	_____
	4,962,473	10/09/1990	Crain	_____	_____	_____
	4,965,825	10/23/1990	Harvey et al.	_____	_____	_____
	4,972,318	11/20/1990	Brown et al.	_____	_____	_____
↓	4,980,826	12/25/1990	Wagner	_____	_____	_____
SW	4,989,233	01/29/1991	Schakowsky et al.	_____	_____	_____

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SW	4,992,866	02/12/1991	Morgan	_____	_____	_____
	4,992,940	02/12/1991	Dworkin	_____	_____	_____
	5,020,129	05/28/1991	Martin et al.	_____	_____	_____
	5,021,953	06/04/1991	Webber et al.	_____	_____	_____
	5,032,989	07/16/1991	Tornetta	_____	_____	_____
	5,042,062	08/20/1991	Lee et al.	_____	_____	_____
	5,053,956	10/01/1991	Donald et al.	_____	_____	_____
	5,060,068	10/22/1991	Lindstrom	_____	_____	_____
	5,061,916	10/29/1991	French et al.	_____	_____	_____
	5,063,507	11/05/1991	Lindsey et al.	_____	_____	_____
	5,065,393	11/12/1991	Sibbitt et al.	_____	_____	_____
	5,072,103	12/10/1991	Nara	_____	_____	_____
	5,077,665	12/31/1991	Silverman et al.	_____	_____	_____
	5,077,788	12/31/1991	Cook et al.	_____	_____	_____
	5,093,718	03/03/1992	Hoarty et al.	_____	_____	_____
	5,101,353	03/31/1992	Lupien et al.	_____	_____	_____
	5,109,399	04/28/1992	Thompson	_____	_____	_____
	5,109,414	04/28/1992	Harvey et al.	_____	_____	_____
	5,117,354	05/26/1992	Long et al.	_____	_____	_____
	5,136,501	08/04/1992	Silverman et al.	_____	_____	_____
	5,136,581	08/04/1992	Muehrcke	_____	_____	_____
SW	5,151,782	09/29/1992	Ferraro	_____	_____	_____

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,164,979	11/17/1992	Choi	_____	_____	_____
	5,164,982	11/17/1992	Davis	_____	_____	_____
	5,168,446	12/01/1992	Wiseman	_____	_____	_____
	5,170,427	12/08/1992	Guichard et al.	_____	_____	_____
	5,191,410	03/02/1993	McCalley et al.	_____	_____	_____
	5,191,613	03/02/1993	Graziano et al.	_____	_____	_____
	5,193,056	03/09/1993	Boes	_____	_____	_____
	5,202,759	04/13/1993	Laycock	_____	_____	_____
	5,204,670	04/20/1993	Stinton	_____	_____	_____
	5,206,803	04/27/1993	Vitagliano et al.	_____	_____	_____
	5,220,501	06/15/1993	Lawlor et al.	_____	_____	_____
	5,222,018	06/22/1993	Sharpe et al.	_____	_____	_____
	5,224,157	06/29/1993	Yamada et al.	_____	_____	_____
	5,229,850	07/20/1993	Toyoshima	_____	_____	_____
	5,231,571	07/27/1993	D'Agostino	_____	_____	_____
	5,233,654	08/03/1993	Harvey et al.	_____	_____	_____
	5,235,680	08/10/1993	Bijinagte	_____	_____	_____
	5,237,499	08/17/1993	Garback	_____	_____	_____
	5,237,500	08/17/1993	Perg et al.	_____	_____	_____
	5,239,462	08/24/1993	Jones et al.	_____	_____	_____
↓	5,241,587	08/31/1993	Horton et al.	_____	_____	_____
SW	5,241,671	08/31/1993	Reed et al.	_____	_____	_____

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SW	5,262,942	11/16/1993	Earle	_____	_____	_____
	5,264,929	11/23/1993	Yamaguchi	_____	_____	_____
	5,283,731	02/01/1994	Lalonde et al.	_____	_____	_____
	5,285,383	02/08/1994	Lindsey et al.	_____	_____	_____
	5,289,275	02/22/1994	Ishii et al.	_____	_____	_____
	5,305,200	04/19/1994	Hartheimer et al.	_____	_____	_____
	5,309,355	05/03/1994	Lockwood	_____	_____	_____
	5,319,542	06/07/1994	King, Jr. et al.	_____	_____	_____
	5,323,315	06/21/1994	Highbloom	_____	_____	_____
	5,323,445	06/21/1994	Nakatsuka	_____	_____	_____
	5,335,277	08/02/1994	Harvey et al.	_____	_____	_____
	5,347,632	09/13/1994	Filepp et al.	_____	_____	_____
	5,351,133	09/27/1994	Blonstein	_____	_____	_____
	5,351,186	09/27/1994	Bullock et al.	_____	_____	_____
	5,361,199	11/01/1994	Shoquist et al.	_____	_____	_____
	5,365,577	11/15/1994	Davis et al.	_____	_____	_____
	5,375,055	12/20/1994	Togher et al.	_____	_____	_____
	5,402,336	03/28/1995	Spiegelhoff et al.	_____	_____	_____
	5,410,343	04/25/1995	Coddington et al.	_____	_____	_____
	5,412,708	05/02/1995	Katz	_____	_____	_____
↓	5,426,281	06/20/1995	Abecassis	_____	_____	_____
SW	5,440,336	08/08/1995	Buhro et al.	_____	_____	_____

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SW	5,440,624	08/08/1995	Schoof et al.	_____	_____	_____
	5,442,771	08/15/1995	Filepp et al.	_____	_____	_____
	5,450,123	09/12/1995	Smith	_____	_____	_____
	5,451,998	09/19/1995	Hamrick	_____	_____	_____
	5,455,903	10/03/1995	Jolissaint et al.	_____	_____	_____
	5,465,291	11/07/1995	Barrus et al.	_____	_____	_____
	5,475,585	12/12/1995	Bush	_____	_____	_____
	5,485,370	01/16/1996	Moss et al.	_____	_____	_____
	5,500,793	03/19/1996	Deming, Jr. et al.	_____	_____	_____
	5,515,424	05/07/1996	Kenney	_____	_____	_____
	5,528,281	06/18/1996	Grady et al.	_____	_____	_____
	5,528,513	06/18/1996	Vaitzblit et al.	_____	_____	_____
	5,534,912	07/09/1996	Kostreski	_____	_____	_____
	5,539,448	07/23/1996	Verhille et al.	_____	_____	_____
	5,557,518	09/17/1996	Rosen	_____	_____	_____
	5,592,375	01/07/1997	Salmon et al.	_____	_____	_____
	5,602,905	02/11/1997	Mettke	_____	_____	_____
	5,604,487	02/18/1997	Frymier	_____	_____	_____
	5,568,181	10/22/1996	Greenwood et al.	_____	_____	_____
	5,581,479	12/03/1996	McLaughlin et al.	_____	_____	_____
↓	5,606,359	02/25/1997	Youden et al.	_____	_____	_____
SW	5,606,496	02/25/1997	D'Agostino	_____	_____	_____

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SW	5,682,195	10/28/1997	Hendricks et al.	_____	_____	_____
	5,689,553	11/18/1997	Ahuja et al.	_____	_____	_____
	5,710,887	01/20/1998	Chelliah et al.	_____	_____	_____
	5,724,646	03/03/1998	Ganek et al.	_____	_____	_____
	5,758,328	05/26/1998	Giovannoli	_____	_____	_____
	5,793,413	08/11/1998	Hylton et al.	_____	_____	_____
	5,794,207	08/11/1998	Walker et al.	_____	_____	_____
	5,815,146	09/29/1998	Youden et al.	_____	_____	_____
	5,845,265	12/01/1998	Woolston	_____	_____	_____
	5,870,724	02/09/1999	Lawlor et al.	_____	_____	_____
	5,905,973	05/18/1999	Yonezawa et al.	_____	_____	_____
	5,973,722	10/26/1999	Wakai et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
	0 188 286	07/1986	EPC	_____	_____		
	0 010 399 A1	04/30/1980	EPC	_____	_____		
	0 029 456	02/07/1991	Japan	_____	_____		
	0 109 198	04/20/1991	Japan	_____	_____		
	Sho 49-73198	07/15/1974	Japan	_____	_____		
↓	Sho 50-98626	01/10/1974	Japan	_____	_____		
SW	Sho 50-133892	10/23/1975	Japan	_____	_____		

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	APPLICANT: RONALD A. KATZ	
	FILING DATE: February 17, 2000	GROUP: 2643

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	TRANSLATION NO
SW	Sho 52-72800	11/27/1975	Japan	_____	_____		
	Sho 54-60000	05/15/1979	Japan	_____	_____		
	Sho 57-92254	06/07/1982	Japan	_____	_____		
	WO 89/02139	03/09/1989	PCT	_____	_____		
	GB 2 105 075 A	03/16/1983	United Kingdom	_____	_____		
	1 437 883	06/03/1986	United Kingdom	_____	_____		
	1 504 112	03/15/1978	United Kingdom	_____	_____		
	1 504 113	03/15/1978	United Kingdom	_____	_____		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
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SW 2	Bocker, P., ISDN The Integrated Services Digital Network, Springer-Verlag Berlin Heidelberg, 1988, pp. 1-52.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
SW 13	Bowen, Charles, et ano., <u>How To Get The Most Out Of CompuServe</u> , 5 th Ed., Bantam Books, 1993, Table of Contents pp. v-xxi; "CompuServe Mail" pp. 50-55; Chapter 12 "Shopping," pp. 283-299; and Appendix pp. 453-455.
14	Brand, Stewart " Founding Father," <u>Wired</u> , March 9, 2001.
15	Brittan, David, " Being There The Promise Of Multimedia Communications," <u>Technology Review</u> , May/June 1992, pp. 44-50.
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SW 30	GE Information Services brochures: "Getting Started On GEIS' EDI Services" "UPC*Express An Extended Capability Of The EDI*EXPRESS System" Copy of business card of Marty Costello, EDI Sales Consultant for GE Information Services "EDI Products And Services Expand Your Business Horizons With The World's Leading EDI Provider" "Electronic Data Interchange: Your Competitive Edge In Managing Today's Business Cycle" Information Services Agreement Service Description And Price Schedule EDI*Express Service," Feb. 1, 1991. U.S. EDI Software Price List, July 1, 1991. EDI*PC Order Form Version 7, U.S. Order Form. "Introduction To Electronic Data Interchange A Primer," GE Information Services U.S.A.

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SW31	Godfrey, D., et al., <u>The Telidon Book - Designing and Using Videotex Systems</u> , Reston Publishing Company, Inc., 1981, pp. 1-103.
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
SW 74	Teweles, Richard J., et al., <u>The Stock Market</u> , 5 th Ed., John Wiley & Sons, Inc., 1987, Series Preface and Chapt. 9.
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4799156	1/17/1989	Shavit et al.	_____	_____	_____
↓	5384841	1/24/1995	Adams et al.	_____	_____	_____
↓	5576951	11/19/1996	Lockwood	_____	_____	_____
↓	5583928	12/10/1996	Tester et al.	_____	_____	_____
↓	5684870	11/4/1997	Maloney et al.	_____	_____	_____
↓	5721832	2/24/1998	Westrope et al.	_____	_____	_____
↓	5727164	3/10/1998	Kaye et al.	_____	_____	_____
SW	5742931	4/21/1998	Spiegelhoff et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
				_____	_____		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
SW	62-190552	08-02-1987	Japan	—	—	X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5127049	06/30/1992	Sabo	_____	_____	_____
↓	5329578	07/12/1994	Brennan et al.	_____	_____	_____
↓	5371534	12/06/1994	Dagdeviren et al.	_____	_____	_____
↓	5481605	01/02/1996	Sakurai et al.	_____	_____	_____
↓	5602908	02/11/1997	Fan	_____	_____	_____
SW	6418211	07/09/2002	Irvin	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5712906	1/27/1998	Grady et al.	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	2002/0120554 A1	8/29/2002	Vega	705	37	2/28/2001
↓	4,897,867	1/30/1990	Foster et al.	379	94	3/15/1988
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